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Chitosan-based nanocomposite films with carnauba wax, rosin resin, and zinc oxide nanoparticles

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ABSTRACT

This work aimed to develop edible emulsion-based barriers in the form of chitosan composite films, with a focus on assessing the impacts of carnauba wax, rosin resin, and zinc oxide nanoparticles on their properties. Six films were produced by casting using chitosan as polymer base and glycerol as plasticizer. Acetic acid and polysorbate 80 were also used to facilitate the dissolution and mixing of the components. The six filmogenic solutions contained chitosan at 1.2% w/v, wax or resin content with 0 or 0.6% m/v and ZnO with 0 or 0.05% m/v. The dried films were characterized according to their chemical, barrier, mechanical, thermal and optical properties. All treatments resulted in flexible films. Chitosan films appeared smoother and more uniform under SEM imaging, while carnauba wax films displayed roughness due to their hydrophobic nature. Wax and resin films were less transparent and water soluble than the chitosan-only films. On the other hand, the addition of ZnO in the formulations increased the solubility of the films. The sorption degree was in line with the solubility results, i.e., films with ZnO presented higher sorption degree and solubility values. All treatments showed low or non-light UV transmission, indicating that the films provide good barrier to UV light. In the visible light region, films of resin with ZnO showed the lowest transmittance values, hence offering a good barrier to visible light. Among the evaluated films, chitosan, and resin films with ZnO nanoparticles were more rigid and resistant to deformation. Overall, films produced with rosin resin and ZnO nanoparticles showed potential improvements in barrier, mechanical, thermal, and optical properties, mainly due to their low water solubility, good UV protection and low permeability to water vapor and oxygen, which are suitable for using in formulations, intended to produce edible films and coatings.

1. Introduction

In response to growing consumer demand for safe, high-quality products and a preference for manufacturers with robust risk control practices, researchers have focused on substituting synthetic additives with more natural alternatives that are less detrimental to both consumer health and the environment. [\(Asioli et al., 2017; Mesías et al.,](#page-9-0) [2021\)](#page-9-0).

The development of active biopolymeric films aligns with these aspirations, effectively addressing two significant concerns. First, it reduces reliance on conventional plastic packaging. Second, it accomplishes this by incorporating agents known for their antimicrobial and antioxidant properties, such as essential oils or metallic oxides. This innovative approach not only enhances product safety but also promotes sustainability in packaging, meeting the dual objectives of quality and environmental responsibility.[\(Kamarudin et al., 2022; Khalid](#page-10-0) & Arif, [2022; Moeini et al., 2021](#page-10-0)).

Biopolymer materials have emerged as a sustainable alternative to replace petroleum-based packaging materials. They are highly sought after due to their abundance, renewability, eco-friendliness,

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biodegradability, and biocompatibility, effectively addressing the pressing environmental and food safety concerns associated with conventional packaging materials. In packaging formulations, various biopolymers have found applications, including pectin, starch, gums, waxes, and chitosan, demonstrating the versatility and potential of these materials in meeting the demands of a more sustainable and responsible packaging industry [\(Lin et al., 2023; Shankar](#page-10-0) & Rhim, 2016).

Chitosan, a widely used edible biopolymer derived from the deacetylation of chitin, has been studied for its ability to produce highperformance films and coatings due to its effectiveness against bacterial, fungal, and viral pathogen (Díaz-montes and Castro-muñoz, 2021; Priyadarshi & Rhim, 2020; Terzioğlu et al., 2021; Hongxia Wang et al., [2021\)](#page-11-0). The use of composite films of chitosan with hydrophobic compounds, such as lipids, offers an alternative method to enhance water barrier properties [\(Santacruz et al., 2015\)](#page-11-0). In pursuit of enhanced water barrier properties, various composites have been created by blending polysaccharides with lipids. Among these lipids, carnauba wax, derived from the Brazilian palm tree, has gained significant prominence as an edible coating to extend the shelf life of fruits and vegetables. Its high melting point and hardness make it ideal for developing durable coatings. Additionally, carnauba wax is primarily employed to reduce water loss while providing a glossy appearance ([Butt et al., 2023; Susmita Devi](#page-9-0) [et al., 2022\)](#page-9-0).

Another hydrophobic component employed to enhance water vapor resistance is rosin resin, extracted from pine exudates and primarily composed of abietic acid. With an amorphous structure, though some reports suggest some crystallinity, its melting point typically falls between 70 to 78 ◦C. Rosin resin is frequently utilized as a modifier or coating to enhance water resistance and visual appeal, thanks to its strong hydrophobic properties and glossy finish. [\(Cruces et al., 2021; Su](#page-10-0) [et al., 2021\)](#page-10-0).

In general, biopolymeric films typically exhibit a hydrophilic nature and limited mechanical and thermal properties, which can hinder their effectiveness in various applications. As a result, it often becomes necessary to apply specific techniques to enhance these attributes. ([Xavier et al., 2020](#page-11-0)). One promising approach involves leveraging nanotechnology, such as the incorporation of zinc oxide nanoparticles, in active packaging, directly contributing to the stability of packaged foods ([de Coelho et al., 2020; Mohammadi et al., 2019\)](#page-10-0). The utilization of ZnO nanoparticles can augment both the mechanical strength and barrier properties of polymeric films, in addition to providing antimicrobial benefits [\(Espitia and de Soares, 2012; Porto et al., 2018\)](#page-10-0).

The study focuses on the development of chitosan-based edible barriers, incorporating carnauba wax, rosin resin and zinc oxide nanoparticles. To the best of our knowledge, there is no report on the comparison among the effect of these three additives on film properties, encompassing chemical, barrier, mechanical, thermal behaviour, and optical properties. Hence, the objective of this research was to craft chitosan films, with a focus on assessing the impacts of carnauba wax, rosin resin, and zinc oxide nanoparticles on their properties.

2. Material and methods

2.1. Materials

Medium molecular weight chitosan (MW = 190-310kDA, purity \geq 75 %, deacetylation degree of 75–85 %, and a viscosity of 200–800 cPs), zinc oxide nanoparticles (nanopowder, *<*100 nm particle size, 97 % purity), and acetic acid (Glacial, 100 % Anhydrous, 99.8 % purity) were purchased from Sigma–Aldrich (St. Louis, EUA). Carnauba wax type 1 and rosin resin were purchased from Fenix Ceras e Produtos derivados LTDA (São Paulo, Brazil). Glycerol (99 % purity) was purchased from Vetec Química Fina LTDA (Rio de Janeiro, Brazil) and polysorbate 80 (purity \geq 90 %) was purchased from Neon reagents analytic LTDA (São Paulo, Brazil).

2.2. Film formulation

The films were prepared by casting technique, following the methodology utilized by [Dos Santos et al. \(2017\)](#page-10-0) with some modifications. To prepare chitosan film-forming solution, 1.2 % (w/v) of chitosan was dissolved in a 1 % (v/v) acetic acid solution with the assistance of an ultra-turrax (IKA-Werke GmbH & Co., Germany). This solution was then enriched with 25 % (w/w) polysorbate 80 and 30 % (w/w) glycerol.

Composite films were produced by adding rosin resin, carnauba wax, or ZnO to 200 mL of a 1 % (v/v) acetic acid solution, which was subsequently combined with the chitosan film-forming solution. 50 % wt. of rosin resin or carnauba wax were dissolved at 80 ◦C, while ZnO (0.5 % wt.) was dispersed using an ultrasound bath.

Pure Chitosan films(C), chitosan-zinc oxide nanocomposite films (CZn), chitosan-carnauba wax composite films (CW), chitosan-carnauba wax-ZnO nanocomposite films (CWZn), chitosan-rosin resin composite films (CR) and chitosan-rosin resin-ZnO nanocomposite films (CRZn) were prepared.

The film-forming solutions were poured into petri dishes and dried in a fan oven at 30 ◦C. Once the films were ready, they were preconditioned in desiccators at 53 % relative humidity, maintained by a saline solution saturated with magnesium nitrate $(Mg(NO₃)₂·6H₂O)$, to assure that all the films were stored in the same conditions of relative humidity before analysis, in order to allow for an adequate comparison among them ([Shankar et al., 2018\)](#page-11-0): film thickness and water solubility, optical and mechanical properties, X-ray diffraction, thermogravimetric analysis, Differential Scanning Calorimetry, and gas permeability.

2.3. Films characterization

2.3.1. Film thickness and water solubility

Film thickness was measured to the nearest 0.001 mm by using a digital micrometrer, IP 54 (Fowler, Newton, USA), at nine points: one at the centre and eight at opposite positions. All measurements were performed in triplicate.

Solubility and swelling in water were performed according to the methodology described by [Mei et al. \(2013\).](#page-10-0) 20 x 20 mm film samples were cut and dried in a fan oven at 105 ◦C for 24 h to determine the initial dry mass $(M₀)$. Afterwards, the dry film samples were immersed in 50 mL of distilled water and stirred at a constant rotation speed of 65 rpm at 30 ◦C in a shaker incubator SL-222/E (Solab, Piracicaba, Brazil). After 24 h, the wet weight of the film samples (M_1) was measured immediately by pulling the films out of the water and slightly removing the water on the surface with an absorbent paper. Finally, the samples were dried in a fan oven at 105 ◦C for 24 h and weighed to determine the final dry mass (M_2) . Measurements were determined in triplicate.

The solubility and degree of swelling of the films were calculated according to equations (1) and (2), respectively.

Swelling (
$$
\%
$$
) = $\frac{(M_1 - M_0)}{M_0} \times 100$ (1)

where M_0 is the initial film mass and M_1 represents the film mass after the swelling time.

Solubility (%) =
$$
\frac{(M_0 - M_2)}{M_0} \times 100
$$
 (2)

where M_0 represents the initial film mass and M_2 is the final dry mass.

2.3.2. Moisture content

Moisture content was measured by drying samples of 20 mm \times 20 mm at 105 ℃ in a fan oven Model MA 035/3 (Marconi, São Paulo, Brazil) for 24 h and determined as a fraction of initial film weight lost. Measurements were carried out in triplicate.

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2.3.3. Optical properties

Ultraviolet (UV) and visible light barrier properties were measured on films at selected wavelengths in a range between 200–800 nm using a UV spectrophotometer UV M− 51 (BEL, Monza, Italy). The film was cut into 10 mm \times 40 mm size samples and attached to one side of colorimetric cup. Measures were made in triplicate and an empty colorimetric cup was used as reference. Opacity of films was calculated as a relationship between film absorbance in 600 nm and film thickness in mm.

2.3.4. Morphological characterization of films

The film surface and cross-section morphological structure was observed with a Quattro ESEM microscope (Thermo Fisher, Waltham, MA, USA) operated at 10 kV. The film samples were fixed on aluminium stubs with a carbon conductive adhesive tape and coated with a thin platinum layer.

2.3.5. Mechanical properties

Mechanical tests were performed using a texture analyser TA XT Plus (Stable Microsystems, Surrey, UK) with a load cell of 30 kg. For the tensile tests, the film samples with 100 mm x 20 mm were fixed in the equipment's grips with an initial distance of 50 mm, the tensile speed was 6 mm \cdot min $^{-1}$. The tensile strength (TS), the elongation at break (EB) and Young's modulus (YM) were evaluated with a minimum of 10 replicates for each film and determined according to equations (4), 5 and 6, respectively. Tensile strength indicates the maximum tensile stress that the film can withstand, Young's modulus is a measure of film stiffness, and elongation at break is the maximum change in length of a specimen before breaking ([Vieira et al., 2021\)](#page-11-0).

$$
TS = \frac{F_{max}}{L \times W} \tag{4}
$$

 F_{max} is the maximum tension during the stretching process. L is the width of the films, and W is the thickness.

$$
EB = \frac{L_1 - L_0}{L_0} \times 100
$$
 (5)

 L_0 is the initial film length and L_1 is the film break length.

$$
YM = \frac{\sigma}{\gamma} \tag{6}
$$

σ is slope of the tensile curve and γ is deformation.

Puncture tests were analysed by measuring the puncture force (PF) and puncture deformation (PD) using a TA.TX plus Stable Micro Systems texture analyser (Surrey, England). A cylindrical probe of 5 mm diameter was moved perpendicular to the surface of the film samples with 25 mm x 25 mm at constant speed of 1 mm⋅s⁻¹ until the probe passed through the film. The diameter of the area where film was placed was 10 mm. The PF and PD were determined through the force–deformation curves of at least ten specimens of each film sample. Puncture force was calculated as the maximum puncture force until the breaking point, and puncture deformation was reported as the maximum distance of deformation at the breaking point $(Cazón et al., 2019)$ $(Cazón et al., 2019)$.

2.3.6. Fourier transform infrared spectroscopy (FT-IR)

The FTIR spectra of the films samples were acquired, using a Agilent Cary 670 FTIR spectrometer (Agilent, Santa Barbara, CA, USA). The spectra (32 scans per spectrum) of samples were collected in duplicate in the mid-infrared wavenumber range from 4000 to 650 $\rm cm^{-1}$, with a spectral resolution of 4 cm^{-1} .

2.3.7. X-ray diffraction (XRD)

The X-ray diffraction pattern of the films was obtained using a Bruker AXS D2 Phaser X-ray diffractometer (Bruker, Germany) with a CuKα radiation ($\lambda = 1.5418$ Å), at 30 kV and 10 mA. Films were scanned over the range of diffraction angle $2\theta = 5 - 60°$, with a scan speed of 2°/min at room temperature [\(Wu et al., 2019\)](#page-11-0).

2.3.8. Thermogravimetric analysis (TGA)

Thermal behaviour of the films was determined using thermogravimetric analysis using a TGA-200 (Nova Instruments, South Carolina, USA), as described by Piñeros-Hernandez et al. (2017). Film samples with 500 mg were placed in porcelain crucibles and heated at a temperature range of 20 – 800 °C, at a rate of 10 °C \cdot min⁻¹, under a nitrogen atmosphere with a flow rate of 50 mL \cdot min⁻¹. Weight loss as a function of temperature (TG) and differential TG curves (DTG) were analysed. The experiments were carried out in triplicate.

2.3.9. Differential scanning calorimetry (DSC)

DSC analysis was performed to determine the amount of energy absorbed or released at different temperatures. The DSC curves of the films were accomplished in a Q200 DSC Differential Scanning Calorimetric module (TA Instruments, New Castle, USA), as described by [Ghiasi et al. \(2020\)](#page-10-0). Film samples with 2 mg of known moisture content were placed in hermetically sealed aluminium pans and analysed at a heating rate of 10 °C⋅min⁻¹ from -90 to 250 °C, in an internal atmosphere of nitrogen with a flow rate of 50 mL \cdot min⁻¹.

2.3.10. Gas permeability

The $CO₂$ and $O₂$ gas permeability tests of the films followed the methodology proposed by García Jiménez et al. (2021). Pure gas permeation experiments consisted of applying a pressure differential to a film and then measuring the rate of pure gas flowing through it by increasing pressure in the permeate chamber.

The film sample was sealed in a 16.53 cm^3 cylindrical stainless steel permeation cell with a permeation area of 6.05 cm^2 . Before starting the test, the pressure was increased in the feed side to achieve a pressure difference of 2 bar across the film. During the test, pressure variation data in the permeate side were recorded using LogChart II software until steady state was reached. The gas permeance was calculated with equation (7).

$$
P = \left(\frac{T_{STP}}{T \times p_{STP}}\right) \bullet \left(\frac{V_s}{A \times 1 \times \Delta p}\right) \bullet \left(\frac{dp}{dt}\right)
$$
 (7)

P is the gas permeability in barrer Where 1 barrer = $\frac{1 \times 10^{-10} \text{cm}^3[\text{STP}] \cdot \text{cm}}{\text{cm}^2 \cdot \text{s} \cdot \text{cm} \cdot \text{Hg}}$, T_{STP} , and p_{STP} are the temperature and pressure at standard conditions, T is the permeation temperature (K) , V_s is the volume of the permeate chamber $(cm³)$, A is the effective area of the membrane in the cell (cm^2) , l is the film thickness (cm), Δp is the pressure difference between the feed and permeate sides (cmHg), $\left(\frac{dp}{dt}\right)$

is the steady state pressure rate in the permeate chamber (cmHg/s).

The water vapor permeability (WVP) of films was determined gravimetrically by using the modified ASTM E96-92 method [\(ASTM,](#page-9-0) [1993\)](#page-9-0) as described by [Rocha et al. \(2014\)](#page-11-0). Film samples were cut into circular shapes of 40 mm in diameter and sealed in permeation cells containing distilled water (100 % RH; 3,167 kPa water vapor pressure at 25 °C). The permeation cells were placed in desiccators containing blue silica gel (0 % RH; 0 Pa water vapor pressure at 25 ◦C) to ensure a water gradient in the system. Cells were weighed at 24 h intervals for 5 days. The water transferred through the film and adsorbed by the desiccant was determined from the weight loss of the permeation cell. The water vapor transmission rate was defined by the slope of the linear regression of weight loss versus time (g/h) . The WVP was determined by the equation (8).

$$
WVP = \frac{w}{t \cdot A} \times \frac{x}{\Delta p}
$$
 (8)

where WVP is water vapor permeability (g⋅mm⋅h⁻¹⋅m⁻²⋅kPa⁻¹), w is the weight of permeation system (g), t is the time (h), x is the film thickness (mm), A is the area of exposed film, Δp is the difference of water vapor pressure through the film (kPa) at 25 ◦C. For each type of film, four

measurements of WVP were performed.

2.4. Statistical analysis

The data were subjected to analysis of variant (ANOVA) using the Statistica computer program Statistica version 10.0 (StatSoft Inc., Tulsa, USA). Post hoc multiple comparison was determined by the Tukey's test with the level of significance set at p *<* 0.05.

3. Results and discussion

3.1. Film thickness, moisture content, swelling and solubility in water

The film thickness ranged from 85.71 \pm 5.35 to 152.86 \pm 7.56 μ m, with the greatest thickness observed in the carnauba wax films. As shown in Table 1, the addition of wax or resin to film formulations significantly increased film thickness. [Akhtar et al. \(2022\)](#page-9-0) and [Hromi](#page-10-0)š [et al. \(2015\)](#page-10-0) also observed this increase in film thickness after the addition of lipid compounds in film-forming solutions. [Dos Santos et al.](#page-10-0) [\(2017\)](#page-10-0) reported that increasing the wax content also increases molecular contact between the matrix polymer and the wax compounds, which can weaken the aggregation forces of the polymeric chain, making the matrix more open.

The addition of wax, resin and ZnO decreased the moisture content of the films (p *<* 0.05), with the highest moisture content found in chitosan films (Table 1). Hromiš et al. (2015) also observed a decrease in moisture content after adding beeswax to chitosan-based films.

Conversely, CW and CR composite films exhibited no statistically significant differences in terms of thickness and moisture content when compared to their respective nanocomposite counterparts, CWZn and CRZn films. This suggests that the addition of ZnO did not lead to significant alterations in these particular properties. Furthermore, the addition of ZnO did not result in statistically significant changes in film thickness, and the reduction in moisture content in CZn films was less pronounced than in CR and CW films.

Solubility in water and swelling degree are indicators of the resistance of water ([Yadav et al., 2021](#page-11-0)). Table 1 shows the water solubility of the films that ranged from 12.36 ± 0.08 % to 24.03 ± 0.50 %, with the lowest solubility found in wax films. All films were partially soluble maintaining their integrity during water immersion.

It was observed that the addition of ZnO nanoparticles, wax, or resin significantly decreased water solubility. Similar results were found for [Soazo et al. \(2013\)](#page-11-0) and [Dos Santos et al. \(2017\)](#page-10-0) after the incorporation of beeswax and carnauba wax in the film formulations, respectively. However, the greater effect on solubility in water can be attributed to

*The means followed by the same letter, in the columns, do not differ from each other, according to Tukey's test at 5 % probability. T: Treatment; C: Chitosan; CZn: Chitosan + ZnO; CW: Wax; CWZn: Wax + ZnO; CR: Resin; CRZn: Resin + ZnO. **Fig. 1.** Light transmission (%) at 200–800 nm of films.

the presence of carnauba wax. This can be associated to the predominant composition of carnauba wax, which primarily comprises a complex mixture of fatty esters and hydroxyacids. These constituents confer a high level of hydrophobicity and low wettability to the wax, thereby leading to the development of wax-polymer composites characterized by markedly reduced solubility in water [\(Reza et al., 2003; da Rocha et al.,](#page-10-0) [2020\)](#page-10-0).

The degree of swelling, on the other hand, was highly influenced by the presence of ZnO nanoparticles, as it can be seen on Table 1. The incorporation of ZnO nanoparticles might form a three-dimensional network of ZnO in the matrix polymer, which has been reported in literature for nanocomposite films ([Al-Naamani et al., 2016; Hromi](#page-9-0)š [et al., 2015; Yadav et al., 2021\)](#page-9-0).

3.2. Optical properties − *film opacity and UV/visible light barrier*

The addition of wax or resin to the chitosan films and the addition of ZnO nanoparticles affected the light transmission profiles in the UV (250–350 nm) and visible (350–700 nm) ranges as depicted in Fig. 1.

Chitosan films showed the highest light transmittance, indicating that the chitosan film has a weak light barrier. However, the light barrier properties were favoured by the addition of ZnO, wax and resin.

All films showed excellent barrier to UV light, with a transmittance below 5 %. Additionally, it was observed that CR films exhibited a more substantial light barrier, resulting in a decrease in visible light transmittance of up to 40 %. In comparison, CW films displayed a reduced transmittance of up to 20 % when compared to pristine chitosan films. Similar results were reported by [Zhang et al. \(2018\)](#page-11-0) in gelatine films with beeswax and carnauba wax. Exposure to visible and ultraviolet light causes oxidative deterioration of coated foods, leading to loss of nutrients and flavours. Therefore, optical properties are important characteristics for film applications, particularly if the film is used as a food coating or to improve product appearance [\(de Moraes Crizel et al.,](#page-10-0) [2018; Martins et al., 2012\)](#page-10-0).

Likewise, the light transmittance of films incorporated with ZnO nanoparticles are always lower than films without ZnO nanoparticles. These results are in line with [Ngo et al., \(2018\)](#page-10-0) who evaluated the influence of the addition of different concentrations of zinc nanoparticles in pectin/alginate films. [Yadav et al. \(2021\)](#page-11-0) also reported that the reinforcement of the chitosan film with ZnO particles loaded with gallic acid resulted in a polymer with better resistance to ultraviolet visible light than the polymer-only film, particularly when the nanoparticles are homogeneously dispersed in the polymeric matrix.

Film opacity values were between 0.50 and 3.41 mm^{-1} , with pure chitosan films exhibiting the lowest opacity value, as seen in Table 1. The addition of wax or resin to chitosan films significantly increased the

opacity of the films. [Dos Santos et al. \(2017\)](#page-10-0) reported that solid lipid particles, such as wax, introduce morphological heterogeneity in film emulsions. This heterogeneity, in turn, leads to the scattering of visible light within the films, contributing to their opacity. Fig. 2 shows the visual appearance of chitosan-based films.

The chitosan films were opaque, and the different treatments evaluated in this work resulted in films slightly different from the pure chitosan films. The greater visual changes were observed on CRZn, which were darker than the others. According to [Sahraee et al. \(2017\)](#page-11-0), opaque films that limit UV and visible transmission are suitable polymers for packaging and food coatings, which can help reduce oxidation and light-induced discoloration.

3.3. Scanning electron microscopy (SEM)

The SEM images of the surface morphology and cross-section of the chitosan-based films are shown in [Fig. 3.](#page-5-0) The surface and cross sections of the film samples exhibited different morphologies. Chitosan films showed smoother and more uniform surface than rosin or wax films. SEM images of chitosan films with rosin depicted the presence of rosin droplets dispersed within the chitosan matrix, suggesting the formation of an emulsion between chitosan and rosin, which rendered the mixture heterogeneous. Similar results were observed by [Oliva-Moreno](#page-10-0) & Enci[nas \(2021\)](#page-10-0), who enhanced the water resistance of bioplastic pectin films by incorporating pine rosin. The authors observed the formation of rosin droplets dispersed in the pectin film. Films containing carnauba wax showed structural irregularities and roughness attributed to its

drying process [\(Butt et al., 2023\)](#page-9-0).

3.4. Mechanical properties

Mechanical properties are important to ensure adequate mechanical strength and integrity of the films during transport, handling and storage of food packaged with them [\(Vieira et al., 2021\)](#page-11-0). The results presented in [Table 2](#page-5-0) showed that the addition of wax, resin and ZnO nanoparticles influenced the mechanical properties of the films.

hydrophobic characteristics. The rough surface of the film is linked to wax aggregation and lipid recrystallization occurring during the film

The CZn films showed greater tensile strength, puncture force and Young's modulus, accompanied by a decrease in elongation at break. These results indicate that films with nanoparticles were more rigid and resistant to deformation. According to [Wardana et al. \(2018\)](#page-11-0), the increase in tensile strength of nanocomposite films can be explained by the increase in the contact area between the matrix polymer and the ZnO nanoparticles. Also, the ability of the ZnO nanoparticles to fill the gap between the polymer chain, as well as limit the matrix movements, result in stiffer films [\(Meindrawan et al., 2018\)](#page-10-0). [Shankar et al., \(2018\)](#page-11-0) reported a 37.5 % increase in tensile strength of PLA composites and a slight decrease in elongation at break when 0.5 wt% ZnO nanoparticles were incorporated into PLA.

On the other hand, the mechanical properties of the resin films were not statistically different from the chitosan-only films except for the puncture deformation, which decreased due to the presence of resin. Interestingly, the resin had a more pronounced effect when combined with ZnO.

While CR films exhibited a puncture force like that of pure chitosan films and CZn films required a force approximately 80 % greater than chitosan films for puncture, CRZn films required only 18 % more force than pure chitosan films. This observation suggests a synergy between ZnO nanoparticles and rosin resin, impacting the film matrix and consequently influencing the mechanical resistance.

Carnauba wax promoted a decrease in tensile strength and Young's modulus, and increase in elongation at break, puncture force and puncture deformation at break. These results are in line with other reports of the effect of lipids in films (Cortés-Rodríguez et al., 2020; Pel[issari et al., 2013\)](#page-10-0).

[Santos et al. \(2014\)](#page-11-0) also found the same behaviour in the mechanical properties of glycerol-plasticized emulsion films based on different combinations of cassava starch and carnauba wax. The authors attributed the decrease in tensile strength and Young's modulus to discontinuities in the polymeric matrix caused by the wax, implying a loss of cohesive forces, reducing the Youngs modulus. [Butt et al. \(2023\),](#page-9-0) who produced films based on carnauba wax, incorporated sodium alginate in whey protein films and found low tensile resistant after increasing the lipid-based component.

The addition of carnauba wax decreased tensile strength and Young's modulus by 49 % and 60 %, respectively. This reduction may occur due to the fact that carnauba wax has a hydrophobic character, which may result in a porous matrix and the formation of wax agglomerates, as already observed by [Gomes et al. \(2019\)](#page-10-0) in corn starch films with carnauba wax.

Young's modulus is an indicator of film rigidity, the higher the values the higher is film rigidity. While the addition of carnauba wax resulted in more flexibe films, the presence of ZnO nanoparticles resulted in films more rigid. According to [Santos et al. \(2014\)](#page-11-0), lipid compounds may have plasticizing effects, favouring the elongation at break and impairing tensile strength. The reduced tensile strength and enhanced elongation at break have also been reported by Terzioğlu [et al., \(2021\)](#page-11-0) for orange peel powder incorporated chitosan/polyvinyl alcohol composite films.

3.5. FT-IR

Fig. 2. Visual appearance of chitosan-based films. Chitosan + ZnO (A); Chitosan (B); Wax + ZnO (C); Wax (D); Resin + ZnO (E); Resin (F).

The structural configuration and functional groups of the chitosan-

Fig. 3. SEM images of (A) Chitosan film, (B) Chitosan + Rosin film, (C) Chitosan + Wax film, (D) Chitosan + ZnO film, (E) Chitosan + Rosin + ZnO film and (F) Chitosan + Wax + ZnO film. (1) Surface image, (2) Cross-section image.

Table 2 Mechanical properties of the films.

T	Tensile strength (MPa)	Elongation at break (%)	Young's modulus (MPa)	Puncture force (N)	Puncture deformation (%)
C	21.99 bc	$7.25^{bc} +$	$13.48^{\rm b}$ ±	$31.89^d +$	$5.52^b + 0.29$
	± 1.40	0.92	1.99	2.67	
CZn	$36.74^{\rm a}$ ±	$3.39^d \pm 1.00$	21.12^a +	57.59^b +	$4.73^{bc} + 0.34$
	3.03		2.05	4.04	
CW	$11.46^e \pm$	$14.25^{\rm a} +$	5.45 d ±	42.66 $^{\rm c}$ \pm	$9.61^a \pm 1.02$
	1.18	1.97	0.40	6.79	
CWZn	$18.63^d +$	$15.55^{\rm a}$ ±	8.32^c +	$70.79^a \pm$	$8.96^a + 0.89$
	1.55	1.70	0.89	2.94	
CR	$19,86$ ^{cd}	$7.78^{\rm b} + 1.22$	$11.66^{\rm b}$ ±	$31.04^d \pm$	3.61 $cd + 0.44$
	±1.63		1.01	1.62	
CRZn	25.29^b +	4.34 $cd \pm$	$20.74^a \pm$	37.69 cd	$2.52^d + 0.31$
	1.92	0.71	1.38	± 2.21	

*The means followed by the same letter, in the columns, do not differ from each other, according to Tukey's test at 5 % probability. T: Treatment; C: Chitosan; CZn: Chitosan + ZnO; CW: Wax; CWZn: Wax + ZnO; CR: Resin; CRZn: Resin + ZnO.

based films were characterized by FT-IR spectroscopy. The main peaks of the chitosan-based films were similar, but some of the peaks were shifted and the intensity varied as shown in the FTIR spectra in [Fig. 4](#page-6-0).

The peak observed at 3239–3273 cm^{-1} (amide A) corresponds to the stretching vibrations of the NH single bond and the associated hydrogen bonding [\(Siripatrawan](#page-11-0) & Harte, 2010). The peaks at 2914–2922 cm⁻¹ and 2847–2870 cm^{-1} represent the antisymmetric and symmetric stretching vibrations of CH₂ groups, respectively. The amide II band, occurring at 1541–1558 cm^{-1} , is attributed to NH bending and CN stretching vibrations, while the peak at 1381–1405 cm^{-1} corresponds to − C–N stretching within the amide group [\(Chen et al., 2016](#page-10-0); L. [Zhang](#page-11-0) [et al., 2019\)](#page-11-0). Furthermore, a peak in the range of 1021–1088 cm^{-1} , observed in all film samples, corresponds to the stretching vibration of the OH group in glycerol, which was used as a plasticizer (Y. [Zhang](#page-11-0) [et al., 2018\)](#page-11-0).

The chitosan films with carnauba wax exhibited absorption bands associated with the functional groups present in the wax. Notably, sharp peaks were detected at 2914 and 2847 cm^{-1} in wax films. This observation aligns with findings by Y. [Zhang et al. \(2018\)](#page-11-0), who reported similar characteristics in gelatin-based films upon the addition of carnauba wax. According to the authors, these peaks suggested the presence of fatty acid chains, attributed to the asymmetric and symmetric stretching vibrations of the aliphatic CN groups.

Furthermore, a new peak was observed in the spectrum of chitosan films with the addition of carnauba wax. This peak, observed at 1743 cm^{-1} , corresponds to the characteristic C = O stretching signals of fatty acid esters. Moreover, the addition of carnauba wax induced a shift in the peak absorption of $O - H$ (stretching) and $N - H$ (bending) signals in the chitosan film. Similar results were also found by Gutiérrez-Pacheco [et al., \(2020\)](#page-10-0) in chitosan films with carnauba wax and oregano essential oil.

Regarding resin films, after the addition of rosin to the chitosan-resin films, a new band at 1699 cm⁻¹ was observed, indicating the stretching vibrations of the $C = O$ bond in rosin. Furthermore, the intensities of the –OH group stretching around 3280 cm⁻¹ in the chitosan-resin films were weaker than those in films composed of chitosan-only. This suggests that the –OH groups of chitosan reacted with the carboxylic acid present in rosin. Additionally, the intensities of the peaks at 1558, 1405, and 1023 cm^{-1} also decreased. Similar results were found in biodegradable thermoplastic starch films with natural rosin (X. [Zhang et al., 2022](#page-11-0)) and chitosan-resin nanofibers ([Nirmala et al., 2013\)](#page-10-0).

The films incorporated with ZnO nanoparticles exhibited alterations in their characteristic spectral peaks. A comparison between the spectra of films without and with the addition of ZnO nanoparticles reveals a shift towards both lower and higher wavenumber regions, particularly notable in the characteristic bands around 3260–3273 cm⁻¹. Mujeeb [Rahman et al. \(2018\)](#page-10-0) and Z. [Liu et al. \(2016\)](#page-10-0) similarly observed shifts in the position of spectral peaks in chitosan films upon the incorporation of ZnO nanoparticles. They attributed these shifts to a stronger interaction between the functional groups and the ZnO particles.

3.6. X-ray diffraction

X-ray diffraction provides information about the crystalline and amorphous phases present in polymers ([Xu et al., 2019](#page-11-0)). The x-ray

Fig. 4. FT-IR spectra of chitosan-based films.

diffractograms of different films are shown in Fig. 5.

The broad diffraction peak between 19 and 21◦ in the chitosan-only film defines its semicrystalline nature which points to the presence of a tight arrangement of polymer molecules attributed to strong intermolecular hydrogen bonds [\(Lin et al., 2023](#page-10-0)). Other authors described this same diffraction peak for chitosan films prepared with acetic acid as solvent ([Qiao et al., 2021](#page-10-0); Heping [Wang et al., 2019; Ziani et al., 2008](#page-11-0)).

The addition of rosin resin to the chitosan-based films induced a leftward shift in the peak. The peak located at 18.15–––19.31◦ corresponds to a basal interplanar spacing of 4.80—4.60 Å. It's noteworthy that this peak exhibits a broader and less intense profile when compared to the chitosan-only films. The amorphous nature of rosin resin, along with carboxylic acid groups in its composition, suggests that rosin seamlessly accommodated with the amorphous phase of chitosan within the composite structure [\(Cruces et al., 2021; Gaillard et al., 2011](#page-10-0)).

Carnauba wax films exhibited peaks of $2\theta = 20.81 - 21.21°$ and 23.21––23.45◦, corresponding to the basal interplanar spacing of 4.27—–4.19 Å and 3.83—–3.79 Å, respectively. According to Donhowe & [Fennema \(1993\)](#page-10-0) and [Paulo et al. \(2019\),](#page-10-0) these results indicate that wax films are partially crystalline and with characteristics of orthorhombic subcellular packing in lipids, which result in harder and less deformable films. Such effect was observed in the puncture force of the CW films. Furthermore, the peaks at 3.73 Å and 4.13 Å are known to represent short spacings, indicating the side-by-side orientation of the

Fig. 5. XRD patterns of chitosan-based films.

hydrocarbon chains ([Muscat et al., 2013\)](#page-10-0).

The films incorporated with ZnO nanoparticles showed peaks at angles close to those of films without ZnO nanoparticles, with equal or close values of basal interplanar spacing (d), as it can be seen in Table 3. The unchanged or close values of the basal interplanar spacing suggest that a nanocomposite was formed. Furthermore, as can be seen in [Fig. 5](#page-6-0), all intensity peaks in the film incorporated with ZnO nanoparticles were reduced compared to films without ZnO nanoparticles. Thus, both the unchanged or close values of the basal interplanar spacing as well as the decrease in intensity and broadening of the peak suggest the formation of a nanocomposite [\(Sani et al., 2019\)](#page-11-0).

3.7. Thermogravimetry analysis

The thermogravimetric analysis was performed to evaluate the effect of adding wax or resin as well as the incorporation of ZnO nanoparticles on the thermal stability of chitosan-based films. The weight losses of the different films are depicted in Fig. 6 and Table 4. Three primary stages of weight loss have been identified for chitosan-based films, and all of them were influenced by the presence of wax, resin and ZnO nanoparticles.

Between 25 and 220 ℃ the adsorbed water is lost, followed by the glycerol compounds, the residual acetic acid, and structurally bound water. Until 100 ℃, the addition of ZnO or wax resulted in a greater weight loss, while the presence of resin was responsible for reducing the weight loss in around 60 % during this period. During this first step, however, the main changes on thermal degradation due to the different treatments was observed above 100 ◦C, when most of the organic compounds are consumed. Chitosan films presented 8.44 % of mass loss at this point, while all the different treatments resulted in smaller amounts of mass loss.

The second stage of thermal decomposition on chitosan films occurred from 228.67 to 414.33 ◦C. Higher losses were verified at this stage, which can be attributed to the dehydration of saccharide rings, depolymerization, and the decomposition of both acetylated and deacetylated units within the polymer (Singh $\&$ [Dutta, 2011\)](#page-11-0). The addition of carnauba wax and ZnO nanoparticles to the film composition led to the onset of degradation at early stages, approximately at 190 ◦C. This signifies a marginal decrease in the thermal resistance of the films. CW films presented another degradation step that reached maximum degradation rate at 430 ◦C, which is consistent with the presence of carnauba wax in biobased films [\(de Oliveira Filho et al., 2020](#page-10-0)). CWZn films showed a degradation with a maximum degradation at 414 $°C$, indicating not only that the presence of carnauba wax in the chitosan films can be observed as a singular event during degradation but also that it is affected by the presence of ZnO particles. The third main stage of degradation was observed between 400 and 500 ◦C can be attributed to thermal degradation of polysorbate 80 (Cárdenas & [Miranda, 2004](#page-9-0); W. [Zhang et al., 2023\)](#page-11-0). The reduction in weight loss persists slightly beyond 500 ◦C and is linked to the decomposition of C–C, C-O, and C-N single bonds in chitosan [\(Montaser et al., 2019\)](#page-10-0).

3.8. DSC analysis

DSC is a method that provides information on the stability,

Table 3

Fig. 6. Thermogravimetric analysis curves of chitosan-based films.

Table 4

Percentage weight loss obtained by thermogravimetric analysis of the chitosanbased films.

T	First stage Weight Loss $(\%)$	Second stage		Third stage		Total loss (%)
		Tonset (°C)	Weight Loss $(\%)$	Tonset $(^{\circ}C)$	Weight Loss $(\%)$	
C	8.44	266,00	45,53	452,00	7,91	61,88
CZn	5,95	255,88	43.24	440,00	9.57	58,77
CM	2,90	286,57	38,15	453,96	33,70	74,75
CWZn	5,18	267,24	38,75	461,30	30,31	74.24
CR	4,39	252,65	41,72	403,68	23,45	69,56
CRZn	4,64	246,27	35,88	431,98	14,43	54.95

T: Treatment; C: Chitosan; CZn: Chitosan + ZnO; CW: Wax; CWZn: Wax + ZnO; CR: Resin; CRZn: Resin + ZnO.

degradation, melting (T_m) and glass transition temperature (T_g) of biopolymers used in food packaging subject to thermal changes [\(Gheribi](#page-10-0) [et al., 2018\)](#page-10-0). Therefore, DSC analysis was conducted to evaluate the thermal transition of chitosan-based films. The T_m and enthalpy associated with melting (ΔH) of the film samples are shown in Table 5 and DSC thermograms are shown in [Fig. 7](#page-8-0). The glass transition temperature could not be observed for chitosan-based films. According to [Chiumar-](#page-10-0)elli & [Hubinger \(2014\)](#page-10-0), the T_g of polymeric films with plasticizer is difficult to determine by DSC analysis, since the variation in thermal capacity is very low in the glass transition.

Except for the resin films, two endothermic peaks were observed. The first peak ranging from 72.41 to 85.07 ℃ and the second peak between 213.95 and 235.76 ◦C. The first endothermic peak can be attributed to evaporation of water content and traces of acetic acid

C: Chitosan; CZn: Chitosan + ZnO; CW: Wax; CWZn: Wax + ZnO; CR: Resin; CRZn: Resin + ZnO. *The means followed by the same letter, in the columns, do not differ from each other, according to Tukey's test at 5 % probability.

Fig. 7. DSC thermograms of chitosan-based films.

solvent. While the second and more prominent endothermic peak can be related to glycerol denaturation as well as depolymerization and decomposition of chitosan chains.

These results are in agreement with those found by [de Oliveira et al.](#page-10-0) [\(2020\)](#page-10-0) in chitosan films with lemongrass essential oil. Still, according to [Zibaei et al. \(2021\),](#page-11-0) two phenomena can occur during the thermal degradation of most hydrocolloids: the chain stripping, which is caused by the removal of water molecules (dehydration), followed by the splitting and decomposition of the polymeric chain.

The first endothermic peak was less prominent on both pure chitosan and CZnO films, and the addition of ZnO did not alter the second peak. For carnauba wax films, the first endothermic peak was observed around 85 °C, which is related to the melting of wax crystals and is related to the increase in ΔH, as enthalpy of fusion reflects the crystallinity of the polymer (Kong & [Hay, 2002\)](#page-10-0). A second endothermic peak was found between 229 and 236 ℃, which is related to the degradation of chitosan polymeric chains. As it can be seen in Table, the addition of carnauba wax resulted in a reduced ΔH during the second melting. Similar results were found in chitosan films with beeswax (Y. [Liu et al., 2021](#page-10-0)) and polylactic acid films with carnauba wax [\(Wang et al., 2023\)](#page-11-0).

Resin films, on the other hand, only presented one degradation peak, between the temperatures of 213 and 234 ◦C, which can be associated to the melting of the long chain polymers. The absence of a first endothermic peak that is associated with water loss is consistent with the reduced weight loss below 100 ◦C observed in the thermogravimetry analysis. Also, the only peak observed around 230 ◦C presented lower ΔH compared to pristine chitosan films, which is consistent with the findings of the XRD analysis of lower crystallinity of the CR and CRZn films.

3.9. Gas barrier properties

Films play an important role in the field of food packaging or coatings which is the ability to reduce water transfer between the packaged product and the external environment. Thus, the water loss of the product can be reduced, thus increasing the shelf life of these products ([Hosseini et al., 2016; Yadav et al., 2021\)](#page-10-0). The results of the water vapor,

oxygen, and carbon dioxide permeability of chitosan-based films are shown in Table 6, which ranged from 0.32 to 0.52 g⋅mm⋅h⁻¹⋅m⁻²⋅kPa⁻¹, 0 to 1.75 barrer, and 0.71 to 2.54 barrer, respectively.

CRZn, CR and CWZn films showed the lowest water vapor permeability, followed by wax film. Furthermore, the water vapor permeability reduced by 13,5% and 32,6% after incorporation of wax and resin in chitosan-based films, respectively. Regarding the films with ZnO nanoparticles, a reduction in water vapor permeability was observed after the incorporation of ZnO nanoparticles, except for the resin films.

Other studies also have evaluated water vapor permeability after the addition of hydrophobic compounds in polymeric film formulations. [Hosseini et al. \(2016\)](#page-10-0) produced active films based on nanoparticles of gelatine and chitosan with the incorporation of different oregano essential oil concentrations. The authors observed that the maximum water vapor permeability of the films (34%) was when 0.8 % (w/v) of essential oil was added to the film formulation. On the other hand, the water vapor permeability tended to increase with larger amounts of incorporated essential oil. [Yadav et al. \(2021\)](#page-11-0) produced chitosan films containing ZnO nanoparticles loaded gallic-acid and observed that the increase of ZnO nanoparticles in the films led to a decrease in water vapor permeability values. According to the authors, this result was due

*The means followed by the same letter, in the columns, do not differ from each other, according to Tukey's test at 5 % probability. T: Treatment; C: Chitosan; CZn: Chitosan + ZnO; CW: Wax; CWZn: Wax + ZnO; CR: Resin; CRZn: Resin + ZnO; 1 barrer = $\frac{1 \times 10^{-10} \text{cm}^3[\text{STP}] \cdot \text{cm}}{\text{cm}^2 \cdot \text{s} \cdot \text{cmHg}}$; nd: not detected.

to the ZnO nanoparticles occupying the voids in the structure of the macromolecular network, increasing the interaction between the chitosan molecules and the ZnO nanoparticles and compressing the interspace for the diffusion of water vapor.

The lipids are known to improve the water barrier properties of polymer-based films due to their hydrophobic character and decrease the water absorption capacity of the films (Sánchez-González et al., 2010). In addition, Hromiš et al. (2015) reported that water transfer in films is affected by different factors when hydrophobic components are added into film formulations. There is the effect of the hydrophobic nature of the polymeric matrix and the effect of the polymer-lipid interaction on the binding forces of the chains in the network. Water vapor permeability is limited when the increase in the hydrophobic nature of the matrix predominates over the effect of loss of matrix cohesion ([Wang et al., 2022\)](#page-11-0). If these two effects are balanced, no change in water vapor transfer will be observed.

The transfer of oxygen from the environment to food has an important effect on food quality and shelf life, since oxygen and carbon dioxide are essential for respiration in living tissue, such as fresh fruits and vegetables (Ayranci & Tunc, 2003). Regarding oxygen and carbon dioxide permeability, chitosan-based films showed low oxygen and carbon dioxide permeability. These results showed a similar trend to water vapor permeability values. That is, the addition of wax or resin decreased the permeability values for oxygen and carbon dioxide. This same behaviour was found after the incorporation of ZnO particles in the film formulations. In addition, wax films were less permeable to oxygen, while resin films were less permeable to carbon dioxide.

Similar results were found by [Zhang et al. \(2021\)](#page-11-0) who evaluated the effect of addition of tannic acid to chitosan/gelatin composite films on the oxygen barrier properties e [Yadav et al. \(2021\)](#page-11-0) studied the incorporation of zinc oxide nanoparticles in chitosan-based films. Both authors observed that the vapor oxygen permeability of the polymeric films decreased after the incorporation of tannic acid and ZnO nanoparticles, respectively. According [Zhang et al. \(2021\)](#page-11-0) this result can be attributed to the fact that the tannic acid molecule distributed on the surface of the composite films occupied the perpendicularly diffusive pathway for oxygen. That is, the penetrating molecules travelled in a more tortuous diffusion path, which resulted in a decrease in oxygen permeability.

4. Conclusions

In this study, nanocomposite films were successfully developed using carnauba wax or rosin resin and ZnO nanoparticles to the chitosan matrix. The properties of chitosan-based films were influenced by the incorporation of resin or carnauba wax and ZnO nanoparticles. Wax or resin films and films with incorporated ZnO nanoparticles were less transparent than chitosan-only films, as well as presenting lower moisture content, water solubility, swelling. The SEM images revealed that the chitosan films exhibited a smoother and more uniform surface, whereas the carnauba wax films presented structural irregularities and roughness, attributed to their hydrophobic properties. However, the resin films exhibited surface heterogeneity, with rosin droplets dispersed within the chitosan matrix.

CZn and CRZn films showed higher tensile strength (36.74 \pm 3.03 and 25.29 ± 1.92 MPa), higher Young's modulus (21.12 \pm 2.05 and 20.74 \pm 1.38) and lower elongation at break (3.39 \pm 1.00 and 4.34 \pm 0.71 %). Furthermore, the moisture content, water solubility, and swelling of the CRZn films were 21.47 ± 0.60 , 16.47 ± 0.18 , and 68.53 $±$ 0.94, respectively.

Overall, films produced resin with ZnO nanoparticles (CRZn) showed improvements in mechanical and optical properties as well as gas and light barrier properties, mainly due to their low water solubility, higher elongation at break, good UV protection and low permeability to water vapor and oxygen. Thus, the use of this formulation in future applications, such as packaging materials and coatings is a promising alternative to increase the shelf life of food products, mainly for exporting fresh fruits.

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CRediT authorship contribution statement

Luiz Carlos Corrêa-Filho: Writing – review & editing, Writing – original draft, Visualization, Methodology, Formal analysis, Conceptualization. **Jailton Ribeiro dos Santos Junior:** Investigation, Formal analysis, Data curation, Conceptualization. **Andresa Viana Ramos:** Writing – review & editing, Investigation. **Ana Paula Martinazzo:** Resources, Investigation. **Alberto Claudio Habert:** Resources, Investigation. **Carlos Wanderlei Piler de Carvalho:** Writing – review & editing, Methodology. Antônio Gomes Soares: Writing – review & editing, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Renata Valeriano Tonon:** Writing – review & editing, Visualization, Methodology, Conceptualization. Lourdes Maria Corrêa **Cabral:** Conceptualization, Formal analysis, Funding acquisition, Methodology, Project administration, Resources, Supervision, Visualization, Writing – review $\&$ editing.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

Data will be made available on request.

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